**Self-Assessment with Independent Validation (SAIV) Program**

**To be completed by the External Assessor**

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| Audit Procedures | Results / Workpaper Reference | Initials / Date |
| Objective: Determine whether the internal audit activity is in conformance with *The Institute of Internal Auditors' Global Internal Audit Standards (GIAS) effective January 9, 2025.* | Conclusion: |  |
| **Work to be Completed Before the Onsite Visit:** |  |  |
| Procedures Performed: |  |  |
| Provide Review Team bios and completed Confidentiality Policy/Commitment and Statement of Independence Forms to the Chief Internal Auditor. |  |  |
| Ensure each Review Team has completed the SIAAB Online Quality Assurance Review Training Program. |  |  |
| If surveys will be conducted, coordinate the survey activity with the Chief. |  |  |
| Coordinate with the Chief the scheduling of interviews with internal audit staff and key stakeholders and the onsite visit. |  |  |
| Coordinate with and request additional information from the Chief. |  |  |
| **Work Completed During the Onsite Visit:** |  |  |
| Procedures Performed: |  |  |
| Review results of the surveys, if applicable, and use results to consider tailoring of interview questions. |  |  |
| Interview internal audit staff and key stakeholders. |  |  |
| Review and test the SIAAB Quality Assurance Report Matrix completed by the Chief to evaluate evidence of conformance to IIA Standards. |  |  |

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| Meet with the Chief to discuss preliminary results of the SAIV. |  |  |
| **Reporting and SIAAB Approval:** |  |  |
| Procedures Performed: |  |  |
| Review the SIAAB Quality Assurance Report Matrix prepared by the Chief and express an opinion on the SAIV. |  |  |
| Submit the SIAAB Quality Assurance Report Matrix, and this SAIV Program Completed by the External Assessor to the SIAAB Quality Assurance Coordinator. |  |  |